PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Under the Paper Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 09/620,826 TRANSMITTAL Filing Date Jul 21, 2000 **FORM** First Named Inventor Majidi-Ahy, Reza Art Unit (to be used for all correspondence after initial filing) 2667 **Examiner Name** Jones, P. Total Number of Pages in This Submission Attorney Docket Number 164.1017.01 **ENCLOSURES** (check all that apply) After Allowance communication х Fee Transmittal Form (2 copies) Drawing(s) to Group Appeal Communication to Board Fee Attached (PTO Form Licensing-related Papers of Appeals and Interferences 2038 - 2 copies) Appeal Communication to Group Amendment / Reply Petition (Appeal Notice, Brief, Reply Brief) Petition to Convert to a After Final Proprietary Information Provisional Application Power of Attorney, Revocation Affidavits/declaration(s) Status Letter Change of Correspondence Address Other Enclosure(s) (please Terminal Disclaimer Extension of Time Request identify below): **Express Abandonment Request** Request for Refund PTO Form SB08A Copies of References Return Postcard Information Disclosure Statement CD, Number of CD(s) Remarks Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Steven A. Swernofsky Reg. No. 33,040 Individual name Signature Date CERTIFICATE OF TRANSMISSION/MAILING

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below. Type or printed name Signature Date 12-2-2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

PTO/SB/17 (10-03)

Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

		Commiste if I/marrow	
	Chiadrate reperiorit recorded to record to be recorded as a sequence		
ı	Under the Paperwork Reduction Act of 1995, no persons are required to re	espond to a collection of information unless it displays a v	alid OMB control number
ı		O.O. 7 dichi and Trademark Office. O.O. DEI 7411	ME

F	EE	TR	AN	SMIT	TAL
	f	for	FY	2004	ı

Effective 10/01/2003. Patent fees are subject to annual revision.

Applicant Claims small entity status. See 37 CFR 1.27

(\$) 180.00 TOTAL AMOUNT OF PAYMENT

spond to a collection of information driess it displays a valid Civib control number.							
Complete if Known							
Application Number	09/620,826						
Filing Date	7/21/2000						
First Named Inventor	Majidi-Ahy, et al.						
Examiner Name	Jones, P.						
Art Unit	2667						
Attorney Docket No.	164 1017 01						

МЕТН	OD	OF PA	YMEN	T (check all that app	oly)				FE	E CALCULATION (continued)		
Chec	* [x	Credit	card	Money Other	None		DDITIO	NAL F	EES			
	_	_		_ •.••			Entity		Entity	F B 1 4		C D-14
	osit Ac	count				Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	r	Fee Paid
Deposit Account	50-	0365				1051	130	2051	65	Surcharge – late filing fee or oath		
Number Deposit Account Name	Sw	ernofsl	ky Lav	v Group PC	-	1052	50	2052	25	Surcharge – late provisional filing fee or cover sheet		
	<u> </u>			a. /ahaak all that anak		1053	130	1053	130	Non-English specification	F	
		s autno s) indicate		o: (check all that apply X Credit any overpa		1812	2,520	1812	2,520	For filing a request for ex parte reexamina	ation	
X Char	ge any	additiona	l fee(s) c	or any underpayment of fee(	s)	1804	920*	1804	920*	Requesting publication of SIR prior to	Ī	
Char	ae fee(	s) indicate	ed below	, except for the filing fee		1805	1.840*	1805	1,840*	Examiner action Requesting publication of SIR after	ŀ	
to the above	•	•		•		1605	1,040	1005	1,040	Examiner action		
to pro above	3 10010			LCULATION		1251	120	2251	60	Extension for reply within first month		
1. BASI	C FII	ING F	FF		***	1252	450	2252	225	Extension for reply within second month		
Large Ent	- 1	Small				1253	1,020	2253	510	Extension for reply within third month	Ī	
Fee F	ee	Fee Code	Fee (\$)	Fee Description	Fee Paid	1254	1,590	2254	795	Extension for reply within fourth month	Ī	
	<b>\$)</b> 790	2001	(*) 395	Utility filing fee	reeralu	1255	2,160	2255	1,080	Extension for reply within fifth month	1	
	350	2002	175	Design filing fee		1401	500	2401	250	Notice of Appeal	-	
	550	2003	275	Plant filing fee		1402	500	2402	250	Filing a brief in support of an appeal		
	790	2004	395	Reissue filing fee		1403	1,000	2403	500	Request for oral hearing		
	200	2005	100	Provisional filing fee		1451	1,510	1451	1,510	Petition to institute a public use proceedi	ing	
''	_00 ,		,,,,			1452	500	2452	250	Petition to revive - unavoidable		
			SUE	STOTAL (1) (\$) 0	.00	1453	1,500	2453	750	Petition to revive – unintentional		
2. EXTR	RA CI	LAIM F	EES	FOR UTILITY AND	REISSUE	1501	1,400	2501	700	Utility issue fee (or reissue)		
			Ext	Fee from ra Claims below	Fee Paid	1502	800	2502	400	Design issue fee	L	
Total Claim		-20	)**= [	x [ =	:	1503	1,100	2503	550	Plant issue fee		
Independe Claims	ent 🗆	- 3	**=	X =	:	1460	130	1460	130	Petitions to the Commissioner		
Multiple De	epend	ent				1807	50	1807	50	Processing fee under 37 CFR 1.17(q)		
Large Ent	tity	Small	Entity			1806	180	1806	180	Submission of Information Disclosure Str	mt	\$180.00
	ee \$)	Fee Code	Fee (\$)	Fee Description		8021	40	8021	40	Recording each patent assignment per	ĺ	
1202	50	2202	25	Claims in excess of 2	0	1809	790	2809	395	property (times number of properties) Filing a submission after final rejection (37 CFR 1.129(a))	}	
1201	200	2201	100	Independent claims in	excess of 3	1810	790	2810	395	For each additional invention to be examined (37 CFR 1.129(b))		
1203	360	2203	180	Multiple dependent da	im, if not paid	1801	790	2801	395	Request for Continued Examination (RCE)		
	200	2204	100	**Reissue independe over original patent	nt claims	1802	900	1802	900	Request for expedited examination of a design application		
1205	50	2205	25	**Reissue claims in e and over original pa	xcess of 20			•		o. a assign approxima.		
		9	SUBT	OTAL (2) (\$) 0.0	0	Other	fee (spe	cify)				
** or n	umber			if greater; For Reissues,		*Redu	ed by Bas	sic Filing	Fee Paid	SUBTOTAL (3)	(\$) 180.0	)

SUBMITTED BY			Complete (if applicable)		
Name (Print/Type)	Steven A. Swernofsky	Registration No. (Attorney/Agent)	33,040	Telephone	650-947-0700
Signature	54 Sween Olsky	^/		Date	12-1-2005

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Attorney Docket 164.1017.01

## THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re App	lication of:

Majidi-Ahy

Art Unit:

2667

Serial No.

09/620,826

Examiner:

Jones, P.

Filed:

7/21/2000

For:

Integrated, Self-Optimizing, Multi-

Parameter/Multi-Variable Point-to-

Multipoint Communication System [II]

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail, in an envelope addressed to:

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Name

## INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

This	Informati	ion D	isclosure	Statement	is su	ıbmitted	l under

	37 CFR 1.97(b) (Within three months of the national application filing or the entry of international application, OR before the mailing date of first Office Action, whichever occurs last.)
$\boxtimes$	37 CFR 1.97(c) together with either a:
	☐ Statement under 37 CFR 1.97(e)
<u>01</u>	∑ \$180.00 fee set forth in 37 CFR 1.17(p)
	(After the CFR 1.97(b) time period, but before final Office Action or Notice of Allowance, whichever occurs first.)
	37 CFR 1.97(d) together with a:
	☐ Statement under 37 CFR 1.97(e)
anc	1 □ \$180.00 fee set forth in 37 CFR 1.17(p)

(After the CFR 1.97(c) time period, but before payment of the Issue fee.)

12/07/2005 GWORDOF1 00000001 09620826

01 FC:1806

180.00 OP

## **List of Documents**

Applicant(s) submits herewith:	
	re Statement by Applicant) listing patent s might be material to the examination of this duty to disclose in accordance with 37 CFR 1.56.
document(s) of which applicant(s) believes	re Statement by Applicant) listing non-patent s might be material to the examination of this duty to disclose in accordance with 37 CFR 1.56.
<b>Copies of Documents</b>	
Applicant(s) submits herewith copies of	all listed documents.
Copies of the listed documents are not in documents were previously submitted in	<del>-</del> -
Docket No.: Serial No.: Filed:	
	AGED AND EXHORTED TO MAKE HIS OR OF POSSIBLE RELEVANCE OF THE LISTED
	s) submits that the listed document(s), taken alone or obvious the present invention. Consideration of respectfully requested.
In the event fees are required, a to Deposit Account No. 50-0365.	uthorization is hereby granted to charge these fees
It is requested that the informat application.	ion disclosed herein be made of record in this
	Respectfully submitted,
Date: 12-1-2005	Struendsty
	Steven A. Swernofsky Reg. No. 33,040

Swernofsky Law Group P.O. Box 390013 Mountain View, CA 94039-0013 (650) 947-0700

DEC 0.5 2005

22883

Please type a plus sign (+) inside this pox → ⊞

PTO/SB/08A (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE a collection of information unless it displays a valid OMB control area.

the Paper	work Reduction Act of			espond to a collection of information	unless it displays a valid OMB control number		
Substi	tute for form 1449A	√PTO	DEM	Complete if Known			
				Application Number	09/620,826		
INF	ORMATION	I DISCI	OSURE	Filing Date	7/21/2000		
STA	TEMENT E	BY APP	LICANT	First Named Inventor	Majidi-Ahy		
				Group Art Unit	2667		
	(use as many she	eets as nece	ssary)	Examiner Name	Jones, P.		
Sheet	1	of	1	Attorney Docket Number	164.1017.01		

U.S. PATENT DOCUMENTS										
Examiner Initials*	Cite No¹	U.S. Patent Document	Kind Code <sup>2</sup> (if known)	Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear				
	1	6,006,073	Α	Glauner et al.	12-21-1999					
	2	2004/0032835	A1	Majidi-Ahy et al.	02-19-2004					
	<u> </u>									
	<u> </u>									
	-									
	ļ		<u> </u>	-						
			· ·							
	<del> </del> -		<del> </del>							
	<del>                                     </del>	L								
	<u> </u>									

				FORE	IGN PATENT DOCUMENTS			
Examiner	Cite No <sup>1</sup>			Name of Patentee or Applicant of Cited Document	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>	
	1	wo	99/18685	A1	Alfano et al.	04-15-1999		
•	2	wo	00/13362	A1	Eichinger et al.	03-09-2000		
	3	wo	00/21157	A1	Myers et al.	04-13-2000		
	4	wo	02/13447	A2	Majidi-Ahy et al.	02-14-2002		
	<u> </u>							+
<u> </u>		-	<del> </del>					+
					<u> </u>			+
	<b>†</b>							
	1							

	 _	
Examiner	Date	
Signature	 Considered	 

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation in not in conformance and not considered. Include copy of this form with next communication to applicant.

\*Unique citation designation number. See attached Kinds of U.S. Patent Documents. Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231.